

Agenda

December 2, 2010 (Thursday)	
8:00—8:30	Registration, Banquet Hall, 1st floor, InterContinental Shenzhen Hotel
8:30—9:30	Opening Ceremony and Session I: Opportunities and Challenges for China's Fund Industry Session Moderator: Chen Yaoxian, Vice Chairman, Capital Market Research Institute
8:30—8:40	Welcome speech by sponsor Liu Hongru, Chairman of Capital Market Research Institute, The Founding Chairman of CSRC
8:40—8:50	Welcome speech by sponsor Xu Qin, Mayor, Shenzhen Municipality
8:50—9:20	Keynote Speech by: Shang Fulin, Chairman, China Securities Regulatory Commission
9:20—9:30	Ceremony for the release of Shenzhen Stock Exchange's listed fund brand
9:30—9:50	Tea Break
9:50—12:20	Session II: Latest Trends in the Development and Regulation of the Global Fund Industry Session Moderator: Director, Department of Investment Funds Supervision, CSRC
	Topics: 1. New trends and the new landscape of the global fund industry 2. The international experiences from the differentiated development of mutual funds and hedge funds 3. Enlightenment from the global development of the exchange-traded funds and over-the-counter funds 4. The regulatory reform of the global investment fund industry
9:50-10:20	Keynote Speech by: Navtej S. Nandra , MD, Head of Morgan Stanley Investment Management International
10:20—10:40	Keynote Speech by: Huang Jianhao, Chairman, Korea Financial Investment Association
10:40—11:00	Keynote Speech by: Oliver Bolitho, Managing Director, Head of Asia Goldman Sachs Asset Management
11:00—11:20	Keynote Speech by: Zhang Yujun, President & CEO, Shanghai Stock Exchange
11:20-11:40	Keynote Speech by: Ed Legzdins, CEO, BMO Investments Inc. of Montreal Bank Financial Group
11:40—12:00	Keynote Speech by: Song Liping, President & CEO, Shenzhen Stock Exchange
12:00—12:20	Q&A
12:20—14:00	Lunch

	Panel A	
14:00-17:00	Session III: Competitive Strategy and Business Model of Fund Management Companies Session Moderator: Yu Hua, CEO, Morgan Stanley Huaxin Fund Management Co., Ltd.	
14:00-14:20	Keynote Speech by: Kevin Uebelein, President & CEO, Pyramid Global Advisors of Fidelity Investments Company	
14:20-14:40	Keynote Speech by: Mark Flaherty, Senior Vice President and Partner , Wellington Management Company	
14:40-15:00	Keynote Speech by: Zhao Xuejun, CEO, Harvest Fund Management Co., Ltd.	
15:00-17:00	Panel Discussion	
	<p>Topics:</p> <ol style="list-style-type: none"> Options of competitive strategies and business models of Fund Management Companies Comparison among and enlightenments from different business models The path for the differentiated development of mutual funds and hedge funds Challenges to the competitive strategy posed by the regulatory reform of the fund industry and corresponding solutions 	<p>Panelists:</p> <ul style="list-style-type: none"> ● Tian Rencan, CEO, HFT Investment Management Co., Ltd. ● Ye Junying, CEO, E Fund Management Co, Ltd. ● Zeng Zhaoxiong, CEO, Shenzhen Cowin Investment Management Co., Ltd. ● Tian Ronghua, Partner of Shenzhen Wudang Investment Management Co., Ltd. ● Zhao Xuejun, CEO, Harvest Fund Management Co., Ltd. ● Kevin Uebelein, President & CEO, Pyramid Global Advisors of Fidelity Investments Company ● Mark Flaherty, Senior Vice President and Partner , Wellington Management Company ● Navtej S. Nandra , MD, Head of Morgan Stanley Investment Management International

	Panel B	
14:00—17:00	Session IV: The Evolving Fund Market Landscape: Adapting to the Challenges of Change Session Moderator: Zhou Jiannan, President Assistant, Shenzhen Stock Exchange	
14:00—14:20	Keynote Speech by: Nick Good, Head of BlackRock iShares Asia-Pacific	
14:20—14:40	Keynote Speech by: Francis Ailhaud, Vice-Chairman of French Asset Management Association, CEO of Groupama Asset Management Company	
14:40—15:00	Keynote Speech by: Dou Yuming, CEO, Fullgoal Fund Management Co., Ltd.	
15:00—15:20	Keynote Speech by: Qi Liang, Deputy CEO, Galaxy Securities Co., Ltd.	
15:20—17:00	Panel Discussion	
	<p>Topics:</p> <ol style="list-style-type: none"> 1.The exchange-traded fund market and the changes of investors' demands 2.The differentiated development of the exchange-traded fund market and over-the-counter fund market 3.Options of different fund sales models and the development of the direct selling business and consignment selling by securities firms 4.Impact of changes in the fund market landscape on the product innovation and sales models 	<p>Panelists:</p> <ul style="list-style-type: none"> ● Duan Guosheng, CEO, Taikang Asset Management Co., Ltd. ● Li Weiping, CEO, Personal Financial Business Department of ICBC ● Yang Wenbin, Shanghai Howbuy Investment Consulting Co., Ltd. ● Qi Liang, Deputy CEO, Galaxy Securities Co., Ltd. ● Shang Jian, CEO, UBS SDIC Fund Management Co., Ltd. ● Dou Yuming, CEO, Fullgoal Fund Management Co., Ltd. ● Francis Ailhaud, Vice-Chairman of French Asset Management Association, CEO of Groupama Asset Management Company ● Nick Good, Head of BlackRock iShares Asia-Pacific ● Ed Legzdins, CEO, BMO Investments Inc. of Montreal Bank Financial Group
18:00-20:00	Theme: Cooperation and Communication——A Buffet for CEOs from foreign and domestic fund industry (for guests who register and confirm with us only)	

Notes for Participants

1. Venue:

Banquet Hall, 1st floor, InterContinental Shenzhen Hotel
Hotel Address: No. 9009, Shennan Avenue, Overseas Chinese Town, Shenzhen
Tel: 86-755-4008840888

2. Time

December, 2nd, 2010 (Thursday, 8:30AM-5:00PM)

3. Registration

Day 1:

Time: 14:00—22:00, 1st, December, 2010 (Wednesday)

Address: Banquet Hall, 1st floor, InterContinental Shenzhen Hotel

Day 2:

Time 2: 7:45—8:20, 2nd, December, 2010 (Thursday)

Address: Banquet Hall, 1st floor, InterContinental Shenzhen Hotel

4. Fees and Accommodation

No registration fee for the Forum is charged, while fees for your transportation and accommodation are not provided by the Forum.

Hotel recommended: InterContinental Shenzhen Hotel

Hotel Address: No. 9009, Shennan Avenue Tel: 86-755-4008840888

Please contact the hotel in advance, and book rooms with the identity of participants of 9th China Securities Fund Forum International so as to get the negotiated rate.

Time	Number of Rooms Available	Room Type	Negotiated Rate (RMB)
2010.12.01 – 2010.12.03	100	King Deluxe Room	RMB 900 net
		Deluxe Room Double	RMB 1,000 net
		King Deluxe Pool View	RMB 1,200 net
		Suite Deluxe	RMB 1,800 net

The above price includes relevant taxation and the 15% of the service fee.

The price for one-bed room includes breakfast for one person, and the price for two-beds room includes breakfast for two persons. Breakfast for extra person will be charged additionally with RMB 148 per person and a 15% for the service fee.

5. Contact Persons

Name	Tel	Fax	Email
Xiao Bailing	86-755-83256326	86-755-83203431	blxiao@szse.cn
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6. Website: www.csffic.com